

FORM PTO-4390 (Modif.)  
(REV. 11-98)

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

L92 120

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/701309

INTERNATIONAL APPLICATION NO.  
PCT/JP00/01754INTERNATIONAL FILING DATE  
March 23, 2000PRIORITY DATE CLAIMED  
March 31, 2000

## TITLE OF INVENTION

COMMUNICATION TERMINAL APPARATUS, BASE STATION APPARATUS  
AND RADIO COMMUNICATION METHOD

## APPLICANT(S) FOR DO/EO/US

Guizeng SHI, Osamu KATO, and Mitsuru UESUGI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  A copy of the International Search Report (PCT/ISA/210).
8.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
9.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10.  An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11.  A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15.  A **FIRST** preliminary amendment.
16.  A **SECOND** or **SUBSEQUENT** preliminary amendment.
17.  A substitute specification.
18.  A change of power of attorney and/or address letter.
19.  Certificate of Mailing by Express Mail
20.  Other items or information:

Transmittal Letter Under Rule 53  
 Claim for Priority with PCT/IB/304  
 PCT/IB/308  
 PCT/RO/101

U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR)	09701769	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
		PCT/JP00/01754	L9289.00120

21. - The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$1,000.00
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	\$860.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$710.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$690.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$100.00

**CALCULATIONS PTO USE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$860.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).

20     30

**\$130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	9 - 20 =	0	x \$18.00	<b>\$0.00</b>
Independent claims	3 - 3 =	0	x \$80.00	<b>\$0.00</b>
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
			<b>TOTAL OF ABOVE CALCULATIONS =</b>	<b>\$990.00</b>
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
			<b>SUBTOTAL =</b>	<b>\$990.00</b>
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).			<input type="checkbox"/> 20 <input type="checkbox"/> 30 +	<b>\$0.00</b>
			<b>TOTAL NATIONAL FEE =</b>	<b>\$990.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
			<b>TOTAL FEES ENCLOSED =</b>	<b>\$990.00</b>
			<b>Amount to be: refunded</b>	<b>\$</b>
			<b>charged</b>	<b>\$</b>

A check in the amount of **\$990.00** to cover the above fees is enclosed.

Please charge my Deposit Account No. in the amount of to cover the above fees.  
A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **19-4375** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

James E. Ledbetter

NAME

28,732

REGISTRATION NUMBER

November 28, 2000

DATE

09/701309  
528 R d PCT/PTO 28 NOV 2000

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PATENT, TRADEMARK, COPYRIGHT  
AND RELATED INTELLECTUAL PROPERTY CAUSES

E-MAIL: SDMM@STEVENSDAVIS.COM

November 28, 2000

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

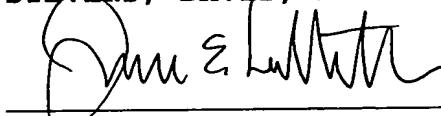
Attached is a new Patent Application which is being filed without a signed Declaration under the provisions of 37 CFR §1.53. The name(s), address(es) and citizenship of the inventor(s) are as follows:

Name:	Guizeng SHI
Address:	4-4-18-104, Midori-cho, Akishima-shi Tokyo 196-0004
	Japan
Citizenship:	Japan
Name:	Osamu KATO
Address:	5-45-G302, Shonantakatori, Yokosuka-shi Kanagawa 237-0066
	Japan
Citizenship:	Japan
Name:	Mitsuru UESUGI
Address:	17-1-402, Anjindai, Yokosuka-shi Kanagawa 238-0048
	Japan
Citizenship:	Japan

The Declaration will be filed within the time specified for such filing.

Respectfully submitted,

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.



James E. Ledbetter  
Registration No. 28,732

JEL/lmq

ATTORNEY DOCKET NO. L9289.00120 PCT

09/701309

528 Rec'd PCT/PTO 28 NOV 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventor(s): Guizeng SHI et al.

Application No.: New Patent Application  
Based on PCT/JP00/01754

Filed: November 28, 2000

For: COMMUNICATION TERMINAL APPARATUS,  
BASE STATION APPARATUS AND  
RADIO COMMUNICATION METHOD

CLAIM FOR PRIORITY

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

The benefit of the filing dates of the following prior foreign applications filed in the following foreign country is hereby requested for the above-identified application and the priority provided in 35 USC 119 is hereby claimed

Japanese Appln. No. 11-094351, Filed March 31, 1999

and

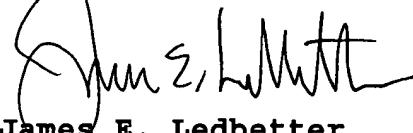
Japanese Appln. No. 11-102734, Filed April 9, 1999.

The International Bureau received the priority documents within the time limit, as evidenced by the attached copy of the PCT/IB/304.

Claim for Priority - Guizeng SHI et al.  
PCT/JP00/01754  
November 28, 2000  
Page 2

It is requested that the file of this application be marked to indicate that the requirements of 35 U.S.C. 119 have been fulfilled and that the Patent and Trademark Office kindly acknowledge receipt of this document.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

Date: November 28, 2000

JEL/lmq

Attorney Docket No. L9289.00120 PCT

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